

139.0003
RSW920030208US1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Karstens
Serial No.: Not Yet Assigned
Filed: Concurrently Herewith
For: METHODS AND SYSTEMS OF INSTANT MESSAGE SECURE CLIENT
CONTROL

December 11, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER § 197(a)

Sir:

This Information Disclosure Statement is being filed before a first Official Action has been mailed in this case.

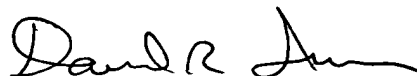
Pursuant to 37 C.F.R. 1.56, 1.97 and 1.98, applicant's attorney wishes to bring to the attention of the Patent and Trademark Office the following items listed on the accompanying Forms PTO/SB/08A.

ITEMS

| | <u>Patent No.</u> | <u>Publication Date</u> | <u>Patentee/Applicant</u> |
|----|-------------------|-------------------------|---------------------------|
| 1. | 6,430,602 | Aug. 6, 2002 | Kay et al. |

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made nor shall it be construed as an admission that the information cited is considered to be material to patentability, nor shall it be construed that no other material information exists.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "David R. Irvin". The signature is fluid and cursive, with a long horizontal stroke at the end.

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